CASE 430868A

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

041585868 Express Mail Label Number

December 5, 2001 Date of Deposit

IN RE APPLICATION OF

Art Unit: 1614

BERNASCONI ET AL.

APPLICATION NO: 09/955,381 FILED: SEPTEMBER 18, 2001

FOR: USE OF GABA B RECEPTOR LIGANDS FOR THE TREATMENT

OF NEURODEGENERATIVE DISEASES

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the filing date of the application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449. All of the non-asterisked references were cited in an International Search Report for parent application PCT/EP00/02605, filed March 23, 2000. In any event, a copy of the International Search Report and a copy of all of the non-asterisked references that are listed on the PTO-1449 form are enclosed herewith.

We also enclose copies of USP 5,332,729 and USP 5,424,441, which are family members of EP 0569333 (which is in the German language) and of USP 5,214,063, which is a family member of EP 0463969 (which is in the French language). In addition, enclosed is the corresponding Derwent Abstract of French Patent 2,722,192.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Novartis Pharmaceuticals Corporation Patent and Trademark Dept: 564 Morris Avenue Summit, NJ 07901-1027 (908) 522-6921

Joseph J. Borovian Agent for Applicants Reg. No. 26,631

JJB/os

Encls. PTO-1449 form (incl. copies of all references listed thereon)
Copy of International Search Report Postcard

Date: December 5, 2001

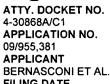
FORM PTO-1449 (REV. 7-85)

EXAMINER

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



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BERNASCONI ET AL.
FILING DATE
SEPTEMBER 18, 2001

1	STENT & TRACEMENT		U.S. PATENT DOCUMENTS						
XAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLA	ss	SUBCLA	ss	FILING DAT
	AA	5,424,441*	6/13/95	Mickel et al.					
	AB	5,332,729*	7/26/94	Mickel et al.					
	AC	5,214,063*	5/25/93	Debaert et al.					
	AD	3,978,216	8/31/76	Fuxe					
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	AL	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBC	CLASS	YES	NSLATIO NO
	AL AM	WO 98/58939	DATE 12/30/98	OFFICE PCT	CLASS	SUBC	CLASS	YES	NO
	AL AM AN	WO 98/58939 EP 569 333 A2	12/30/98 11/10/93	OFFICE PCT Europe	CLASS	SUBC	CLASS	YES	NO
	AL AM AN AO	WO 98/58939 EP 569 333 A2 EP 319 479 A2	12/30/98 11/10/93 6/7/89	OFFICE PCT Europe Europe	CLASS	SUBC	CLASS	YES	NO
	AL AM AN AO AP	WO 98/58939 EP 569 333 A2 EP 319 479 A2 EP 399 949 A1	12/30/98 11/10/93 6/7/89 11/28/90	OFFICE PCT Europe Europe Europe	CLASS	SUBC	CLASS	YES	NO
	AL AM AN AO	WO 98/58939 EP 569 333 A2 EP 319 479 A2	12/30/98 11/10/93 6/7/89	OFFICE PCT Europe Europe	CLASS	SUBC	CLASS	YES	NO
	AL AM AN AO AP	WO 98/58939 EP 569 333 A2 EP 319 479 A2 EP 399 949 A1 WO 97/46675	12/30/98 11/10/93 6/7/89 11/28/90 12/11/97	OFFICE PCT Europe Europe Europe			CLASS	YES	
	AL AM AN AO AP	WO 98/58939 EP 569 333 A2 EP 319 479 A2 EP 399 949 A1 WO 97/46675 OTHER DOC	12/30/98 11/10/93 6/7/89 11/28/90 12/11/97	OFFICE PCT Europe Europe Europe PCT	ıt pages, E	tc.)		YES	
	AL AM AN AO AP AQ	WO 98/58939 EP 569 333 A2 EP 319 479 A2 EP 399 949 A1 WO 97/46675 OTHER DOC Heese K. et al., Neuro	12/30/98 11/10/93 6/7/89 11/28/90 12/11/97 UMENTS (OFFICE PCT Europe Europe Europe PCT (Including Author, Title, Date, Pertinent)	nt pages, E	tc.)		YES	

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

DATE CONSIDERED

FORM PTO-1449 (REV. 7-85) U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. 4-30868A/C1 APPLICATION NO. 09/955,381 APPLICANT BERNASCONI ET AL.

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DEC 0 5 2001	W.	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)
OFC PATENT & TRADES		Yu ZaiFang et al., Brain Research, Vol. 750, No. 1-2, pp. 53-58 (1997) XP-000981780
	АВ	Reynolds, J.E.F., Royal Pharmaceutical Society, London, Baclofen, pp.1515-1516 (1996) XP-002160961
,	AC .	Lees, A.J. et al., Journal of Neurology, Neurosurgery, and Psychiatry, Vol. 41, No. 8, pp. 707-708 (1978) XP-0009818298
. ,	AD	Trends in Pharmacological Sciences, TIPS, Vol. 14, pp. 391-393 (1993) XP 000946102
,	AE	Potashner S.J. et al., Journal of Neurochemistry, Vol. 40, No.6, pp. 1548-1557 (1983) XP-000981821
	AF	Smith G.D. et al., Neuropharmacology, Vol. 33, No. 9, pp. 1103-1108 (1994) XP-000986543
,	AG	Enna S.J. et al., Life Sciences, Vol. 62, Nos. 17/18, pp. 1525-1530 (1998) XP-000983025
	АН	George B. et al., Methods and Findings in Experimental and Clinical Pharmacology, Vol. 18, No. 5, pp. 335-340 (1996) XP-000981822
,	AI	Derwent Abstract 1996-089281/199610 (FR 2722192, 12/01/96)*
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*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

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Sheet 3 of 3

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FOREIGN PATENT DOCUMENTS

PATENT & TRAD	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLAS	TRAN	SLATION
ENTRIN					S	YES	NO
AA	WO 98/28313 A	7/2/98	PCT	•			
AB	EP 463 969 A1	1/2/92	Europe				
AC	FR 2 722 192 A	1/12/96	France				
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